

RPI-134US

10/583105  
AP20 Rec'd PCT/PTO 15 JUN 2006

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No:	To Be Assigned
Applicant:	Nadarajah Narendran
Filed:	Herewith
Title:	HIGH EFFICIENCY LIGHT SOURCE USING SOLID-STATE EMITTER AND DOWN-CONVERSION MATERIAL
Docket No.:	RPI-134US

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and/or PTO/SB/08b form submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

RPI-134US

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This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

RatnerPrestia



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Jacques L. Etkowicz, Reg. No. 41,738  
Stanley Weinberg, Reg. No. 25,276  
Attorneys for Applicants

JLE/kpc

Enclosures: PTO/SB/08a and/or PTO/SB/08b  
International Search Report

Dated: June 15, 2006

P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700


The Director is hereby authorized to charge or credit Deposit Account No. **18-0350** for any additional fees, or any underpayment or credit for overpayment in connection herewith..

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Dennis McDermott

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 3

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith <b>10/583105</b>
First Named Inventor	Nadarajah Narendran
Art Unit	
Examiner Name	
Attorney Docket No.	RPI-134US

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (If known)			
		US-3,593,055	07/13/1971	Geusie et al.	
		US-3,875,456	04/01/1975	Kano et al.	
		US-5,461,547	10/24/1995	Ciupke et al.	
		US-6,069,440	05/30/2000	Shimizu et al.	
		US-6,210,012 B1	04/03/2001	Broer	
		US-6,252,254 B1	06/26/2001	Soules et al.	
		US-2002/0047516 A1	04/25/2002	Iwasa et al.	
		US-6,452,217 B1	09/17/2002	Wojnarowski et al.	
		US-6,501,102 B2	12/31/2002	Mueller-Mach et al.	
		US-6,513,949 B1	02/04/2003	Marshall et al.	
		US-2003/0030060 A1	02/13/2003	Okazaki	
		US-2003/0067773 A1	04/10/2003	Marshall et al.	
		US-6,576,935 B2	06/10/2003	Onishi et al.	
		US-6,580,097 B1	06/17/2003	Soules et al.	
		US-6,580,224 B2	06/17/2003	Ishii et al.	
		US-6,600,175 B1	07/29/2003	Baretz et al.	
		US-6,603,258 B1	08/05/2003	Mueller-Mach et al.	
		US-6,608,332 B2	08/19/2003	Shimizu et al.	
		US-6,614,179 B1	09/02/2003	Shimizu et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**(Use as many sheets as necessary)**

**SHEET 2 of 3**

**Complete if Known**

Application Number

**To Be Assigned**

Filing Date

Herewith:

First Named Inventor

**Nadarajah Narendran**

Art Unit

Examiner Name

Attorney Docket No.

RPI-134US

[illegible][illegible]Date  
Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(Use as many sheets as necessary)***SHEET 3 of 3***Complete if Known*

Application Number

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**10/583105**

Filing Date

Herewith

First Named Inventor

Nadarajah Narendran

Art Unit

Examiner Name

Attorney Docket No.

RPI-134US

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		International Search Report for PCT International Application No. PCT/US05/15736 mailed March 30, 2006.	<input type="checkbox"/>
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Examiner Signature		Date Considered	
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<sup>1</sup>Applicant's unique citation designation number (optional).

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